



EPFL

MICRO-517

Optical Design with ZEMAX OpticStudio

Lecture 5

14.10.2024

Ye Pu

Sciences et techniques de l'ingénieur
École Polytechnique Fédérale de Lausanne
CH-1015 Lausanne

Outline

Theory

- Layout cont'd
 - Properties of optical glasses
 - Review of lens forms
- Thin-lens predesign
 - Shape and position factors
 - Correction of thin-lens system
- Surface model

ZEMAX Practice

- Seidel coefficients assessment of thin lens

The Lens Design Process



Role of Layout, Predesign, and Surface Model



- Optimization:
 - Computation-intensive
 - Time-consuming
 - Often fails to find global optimum
- ZEMAX OpticStudio cannot be treated as a video game
- Predesign helps you to land near the target so that the optimizer can find it
- In predesign, treat ZEMAX OpticStudio more like a specialized calculator

Layout cont'd



Layout cont'd

Component:

- A single lens
- A thin doublet or triplet
- More complicated group

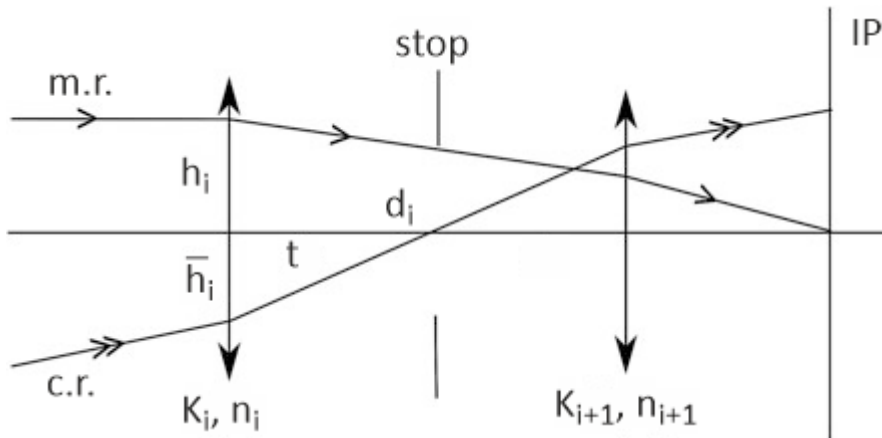
Steps of layout:

- Choice of the type of lens that looks promising to fulfil the specifications
- Choice of glasses for each lens component
- Paraxial model to decide component powers and verify lens behavior
- Initial assessments of aberrations with parameter adjustments

Four groups of lenses:

- **Camera lenses:** the largest number of different design forms due to the most diverse applications; projection lenses
- **Telescope objectives:** refractors (lenses) and reflectors (mirror systems)
- **Micro-objectives:** microscope objectives; objectives for optical recording; lens for laser focusing; projection lenses for optolithography (“stepper lenses”)
- **Oculars:** for visual observation in telescopes and microscopes

Total Degrees of Freedom

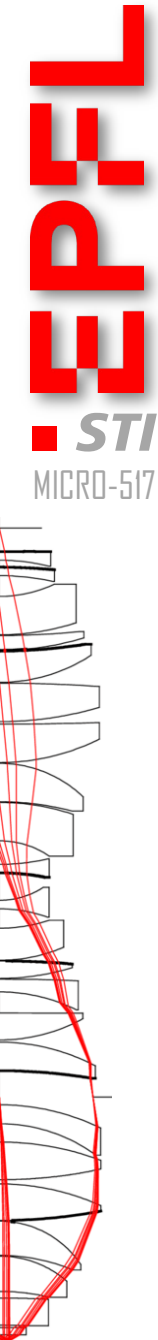


Optimization target:

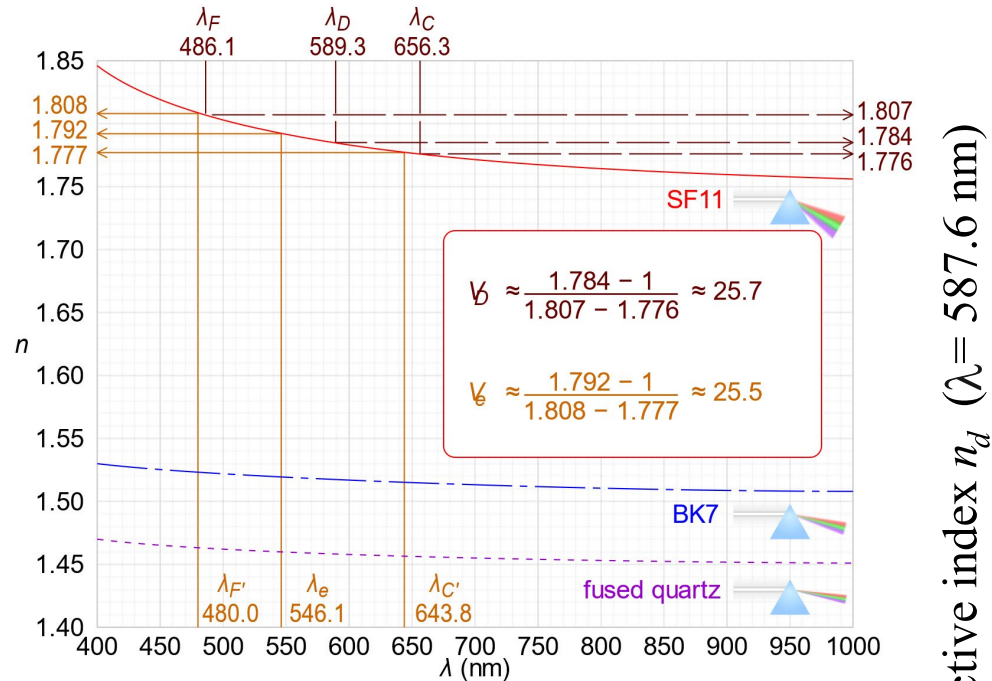
- Seidel coefficients
 - Seven targets: $S_1, S_2, S_3, S_4, S_5, C_1, C_2$
 - Can be less in special cases

Degrees of freedom:

- System of k lenses
- $DOM = 4k$
 - Each lens: n_i, V_i, K_i, d_i (except last d)
 - Stop position



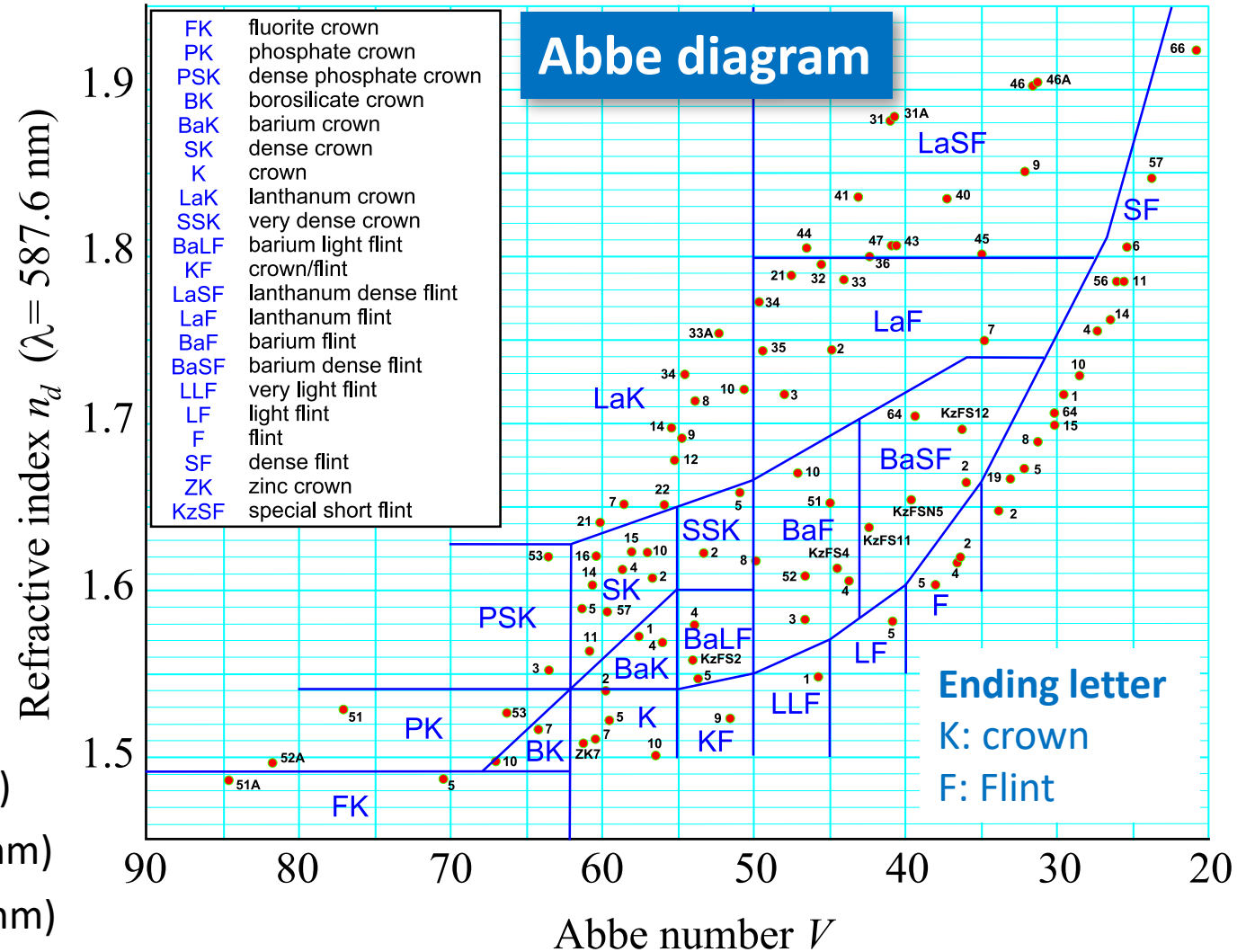
Choice of Optical Glass



Abbe number (V number)

$$V = \frac{n_d - 1}{(n_F - n_C)}$$

n_d Helium d-line (588 nm)
 n_F Hydrogen F-line (486 nm)
 n_C Hydrogen C-line (656 nm)



About Glass Names

- Different glass company has different names for the similar types of glass
- ZEMAX OpticStudio provides a comprehensive library of glass

Names of BK7 according to the manufacturers⁵

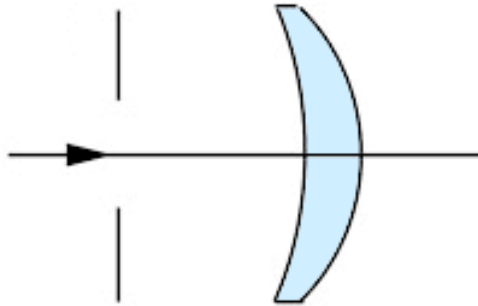
Glass family	n_d	v_d	International Coding MIL-G-174-B	Codifications of the main producers								
				Schott	Pilkington	Hoya	Ohara	Sumita	Corning	CDGM	Nikon-Hikari	Potapenko
Crown borosilicate	1.51680	64.17	517-642	N-BK7	BSC517642	BSC7 ⁶	S-BSL7 ⁷	K-BK7	B16-64 ⁶	D/H-K9L	J-BK7	K8 ⁸

Source: Wikipedia.org

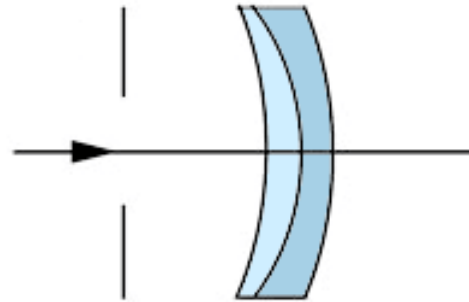
Camera Lens

- Historically (over 200 years) and still a rich mine
- Most important items in the specification:
 - F-number (the “speed” of the system)
 - Field angle (object field angle w or the full field angle $2w$)
 - Image quality (resolution, modulation transfer function) on axis and its homogeneity in the field.
- Review of historically significant lens types and design strategy combating aberrations provides rich insights

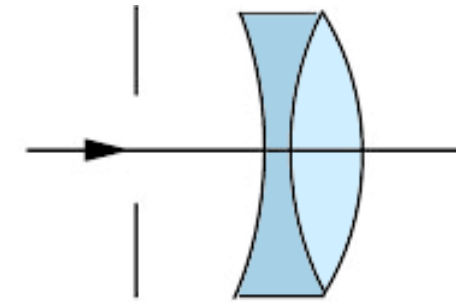
Camera Lens | Landscape Lens



Wollaston 1812



Chevalier 1840

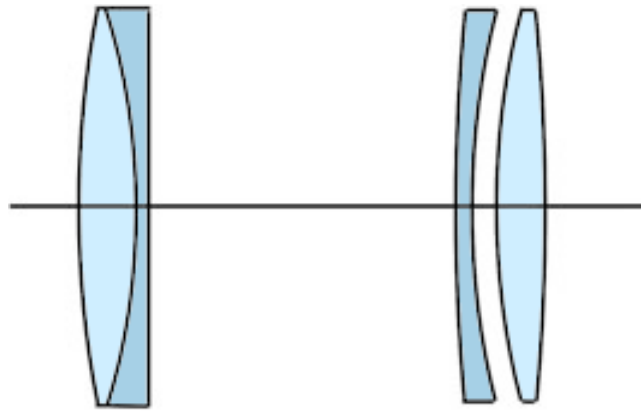


Grubb 1857

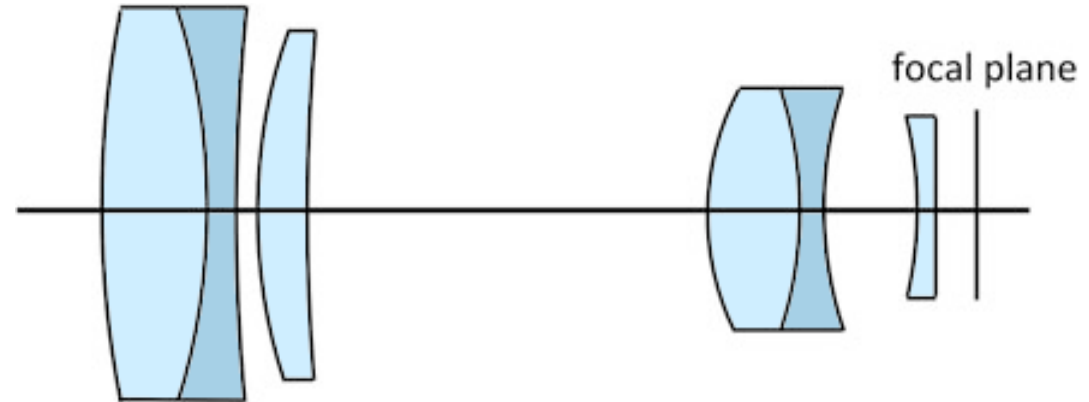
Landscape lens

- Single lens with a remote stop
- Two models: stop in front or behind the lens
- Uncorrected spherical and chromatic aberration
- Large F-number, typically F/15
- Moderate resolution: ~ 15 lp/mm on axis, ~ 10 lp/mm over a field of $2w = 30 - 40^\circ$ with a focal length of $f = 100$ mm
- Color corrected model: Chevalier (1840) and Grubb (1857)

Camera Lens | Petzval and Ektar Lens



Petzval 1840

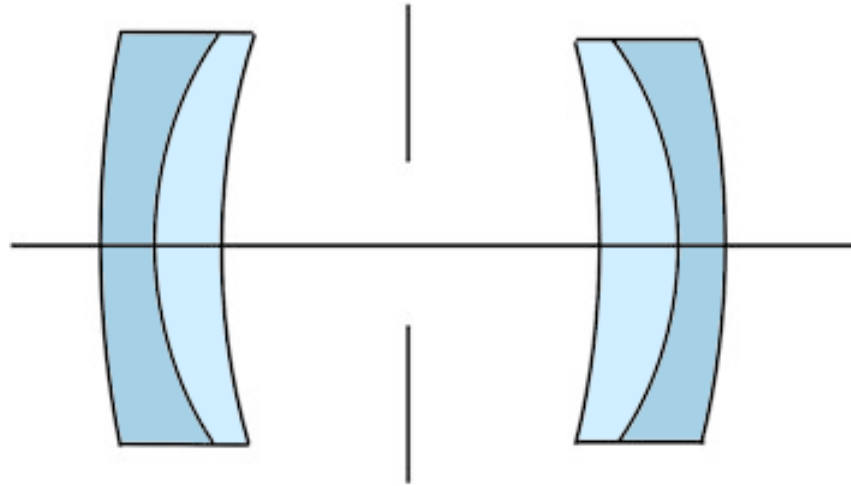


Kodak Projection Ektar, Schade 1948

Petzval portrait lens

- Two doublets with an airspace in the second
- Much smaller F-number: $F/3.4$ over a field of $2w = 32^\circ$
- Excellent on-axis correction, resolution 25 lp/mm but much worse in the field
- Application: Kodak Projection Ektar, Schade (1948), $f = 56$ mm, $F/1.5$, field 16 mm
- Resolution 90 lp/mm over the entire field

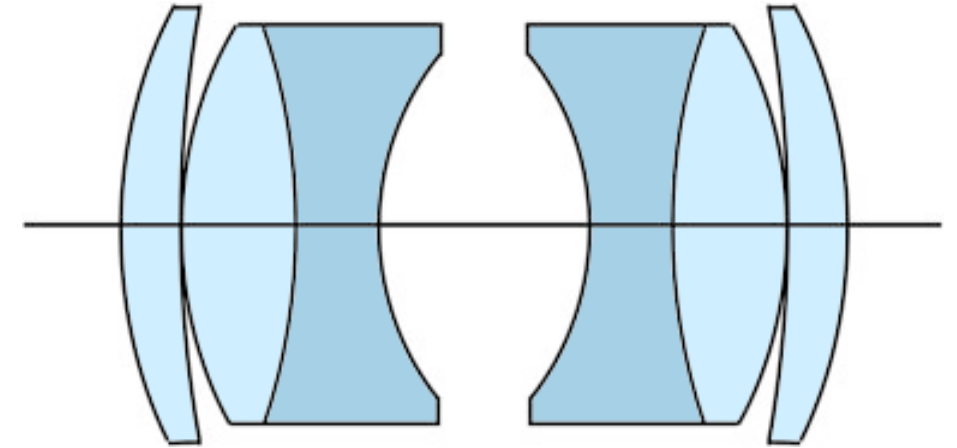
Camera Lens | Rapid Rectilinear and Planar



Rapid Rectilinear Lens, Dallmeyer 1866

Rapid rectilinear lens

- Aberrations improved by larger distances between components and a symmetric structure
- $F/8$, $2w = 50^\circ$
- Excellent correction of coma, distortion and TCA
- Field curvature is well corrected
- Uniform resolution over the field ~ 20 lp/mm

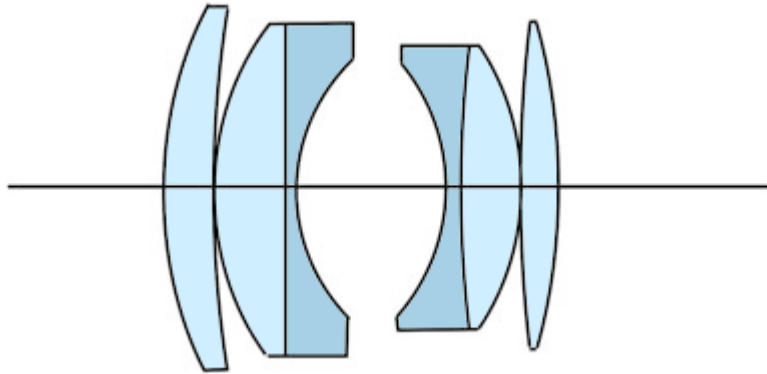


Zeiss Planar Lens, Rudolph 1896

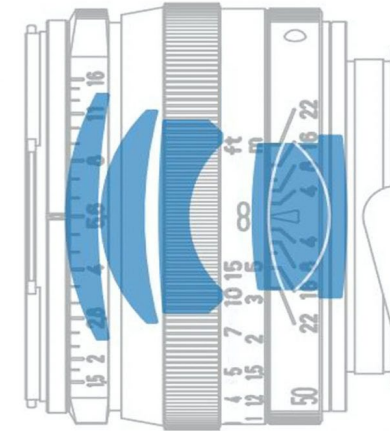
Zeiss Planar lens

- Further development of Rapid Rectilinear
- Two more components
- “Buried surfaces”: small refractive index step, moderate V-number difference
- $F/4.5$, $2w = 40^\circ$, center resolution ~ 30 lp/mm
- Considered a prototype of double Gauss

Camera Lens | Double Gauss



Leica Leitz Summicron, Mandler 1976
(US4123144)

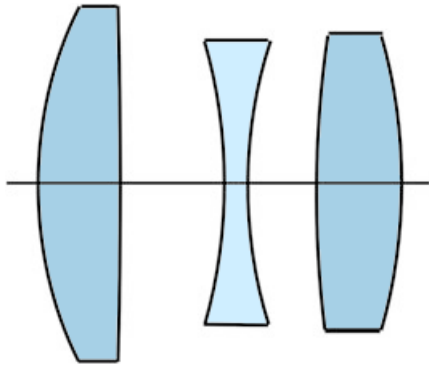


Carl Zeiss C Sonnar T* 50mm F/1.5 ZM, Today

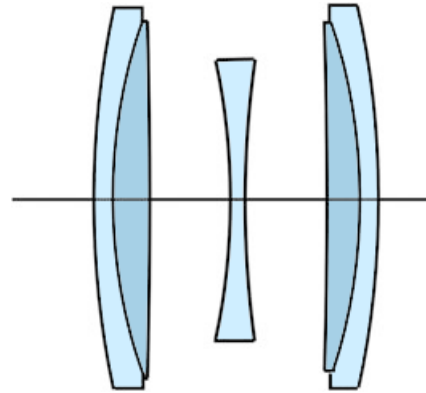
Double Gauss lens

- First made by H. W. Lee (Opic 1920): $f = 50$ mm, $F/2$, $2w = 44^\circ$, uniform resolution 30 lp/mm
- Further developed by von Hoegh (Celor 1897): $f = 100$ mm, $F/5$ ($F/3.5$ later), $2w = 60^\circ$
- Completely or nearly completely symmetric
- Large distances between elements: good correction for astigmatism
- Modest asymmetry: easy correction of asymmetric aberrations (coma, distortion, TCA)
- Most intensely studied lens structure in history

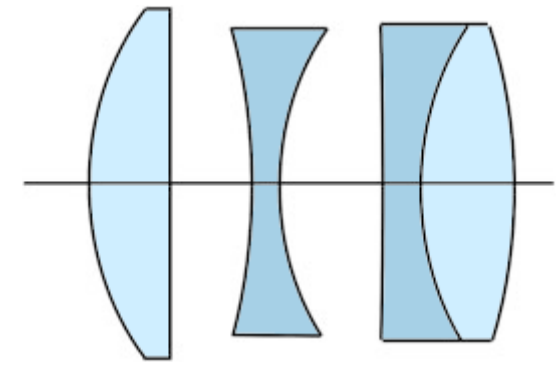
Camera Lens | Triplet



Cooke Triplet, Taylor (1895)



Heliar, Harting (1902)



Tessar, Rudolph 1901

Cooke triplet

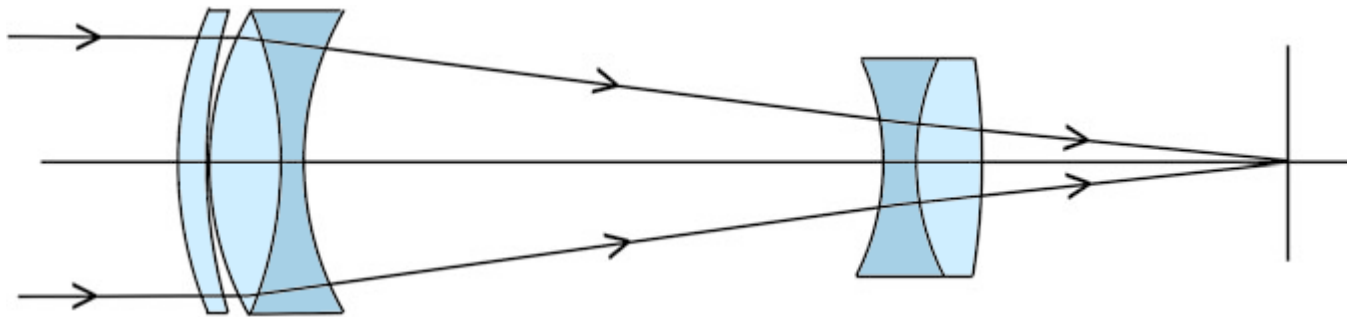
- Cooke Triplet: Designed using an analytical method
- Near-symmetric system, stop near the middle lens
- Field angles from 10° to 35° , F# 12 — 3
- 8 **degrees-of-freedom (DOM)** : 3 powers + 2 distances + 3 lens forms
 - Fix the system power and the Petzval sum (field curvature)
 - Correct LCA, TCA, and most of distortion
 - Lens forms: correction of spherical, coma and astigmatism
- Many different forms and variants (e.x. Heliar)

Zeiss Tessar

- Not developed as a triplet
- Usually assigned to triplet family

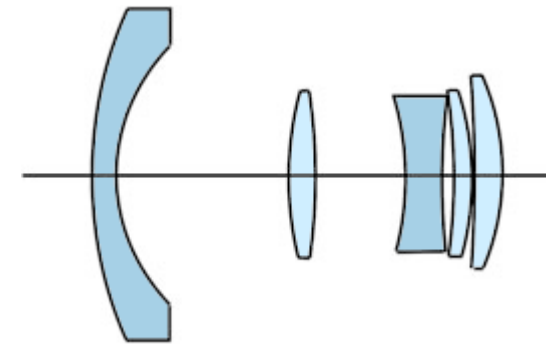
Camera Lens | Telephoto and Wide-angle Lens

Telephoto lens

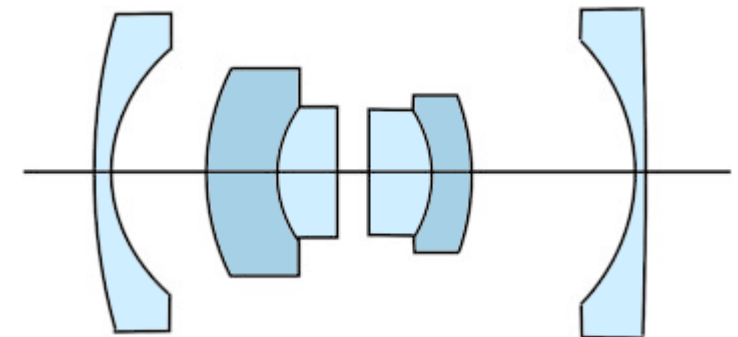


- Consists of a positive (first) and a negative (second) component
- Focal length much larger than the distance from its first surface to its focal plane
- Field curvature easy to correct
- Distortion more difficult to correct

Wide-angle lens

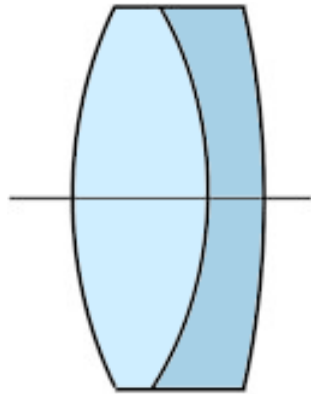


Retrofocus Lens

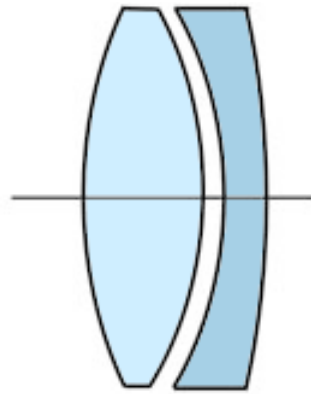


Angulon, Bertele 1951

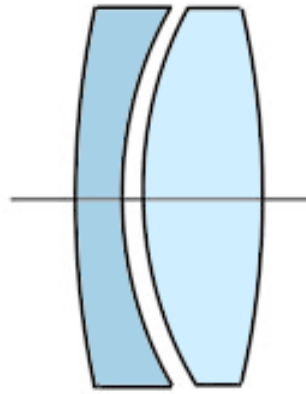
Refracting Telescope Objective



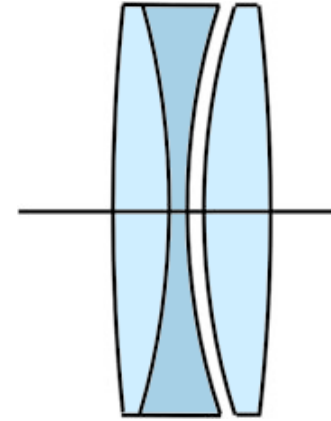
Cemented



Fraunhofer



Steinheil



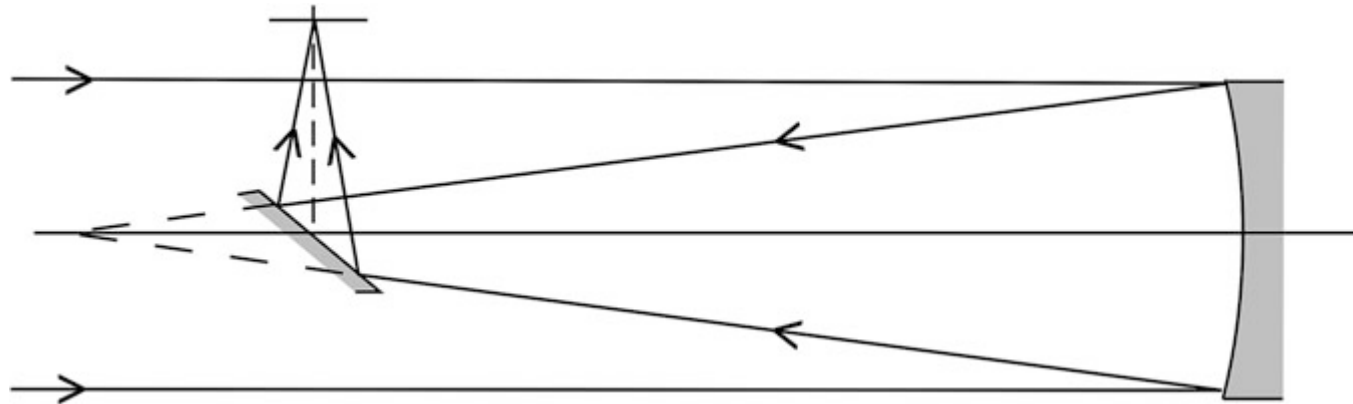
Doublets

- Telescopes:
 - Small field angle ($w \sim 3^\circ$)
 - Modest aperture ($\sim F/5$)
- Correction for spherical aberration
- Correction for two colors (**achromatic**)
- Secondary color
- Terrestrial use

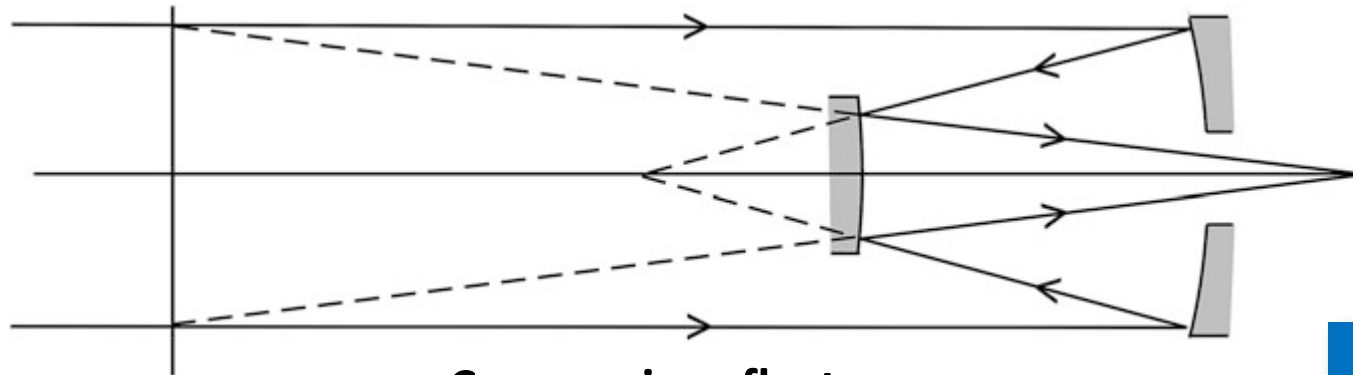
Triplets

- Correction for three colors (**Achromatic**)
- For astronomical use

Astronomical Telescope



Newton's telescope



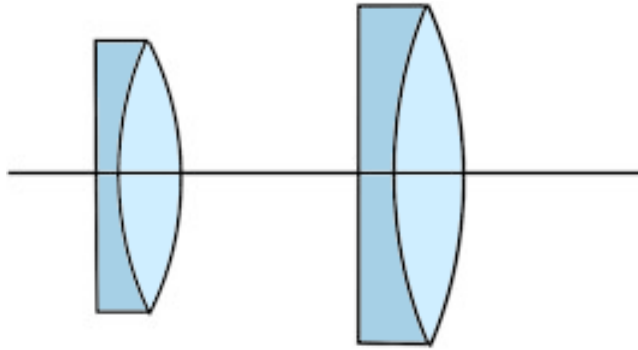
Cassegrain reflector

- Used in all modern large astronomical telescopes
- Aspheric (paraboloid) primary mirror
 - Perfect on-axis
 - Coma in the field
 - Field limited to 10 min of arc at aperture angle $u' = 5^\circ$ ($\sim F/5$)
- Aspheric (hyperboloid) secondary mirror improves correction
 - Field of 1° at $\sim F/5$
- With concentric surfaces and an aspheric corrector plate
 - Field of 6° at $\sim F/3$

Angular Resolution

$$\delta \bar{u} = \frac{0.6\lambda}{f \sin u'}$$

Microscope Objective

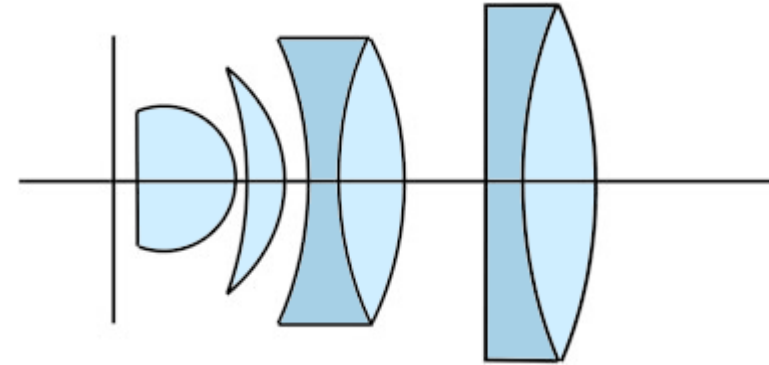


Lister objective

- Microscope objective:
 - Small field angle ($w \sim 2-3^\circ$)
 - Large aperture ($n \sin u \sim 0.25-1.4$)
- Lister objective: simplest objective
- $f = 9.5 \text{ mm}$ $10\times 0.2 \text{ NA}$

Magnification

$$M = -\left(1 + \frac{t}{f'}\right)$$



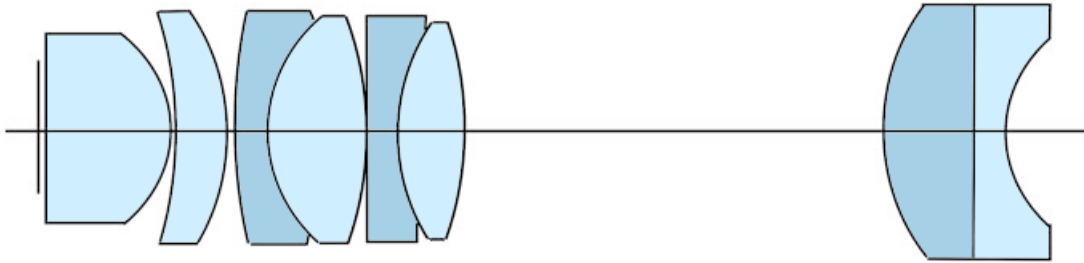
Amici objective

- Amici objective: Lister objective + aplanatic front lenses
- $f = 4.4 \text{ mm}$ $40\times 0.6-0.7 \text{ NA}$
- Achromatic (secondary color)
- Large field curvature

Spatial resolution

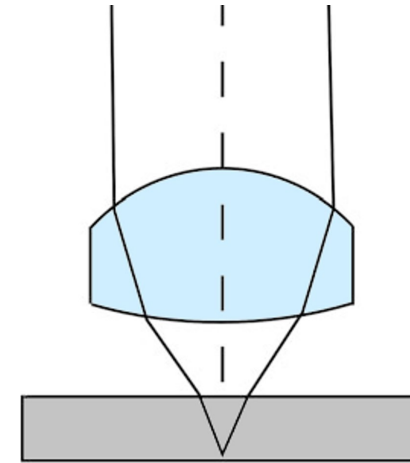
$$\delta\eta = \frac{0.6\lambda}{n \sin u} = \frac{0.6\lambda}{NA}$$

Microscope Objective



Plan-apochromatic objective

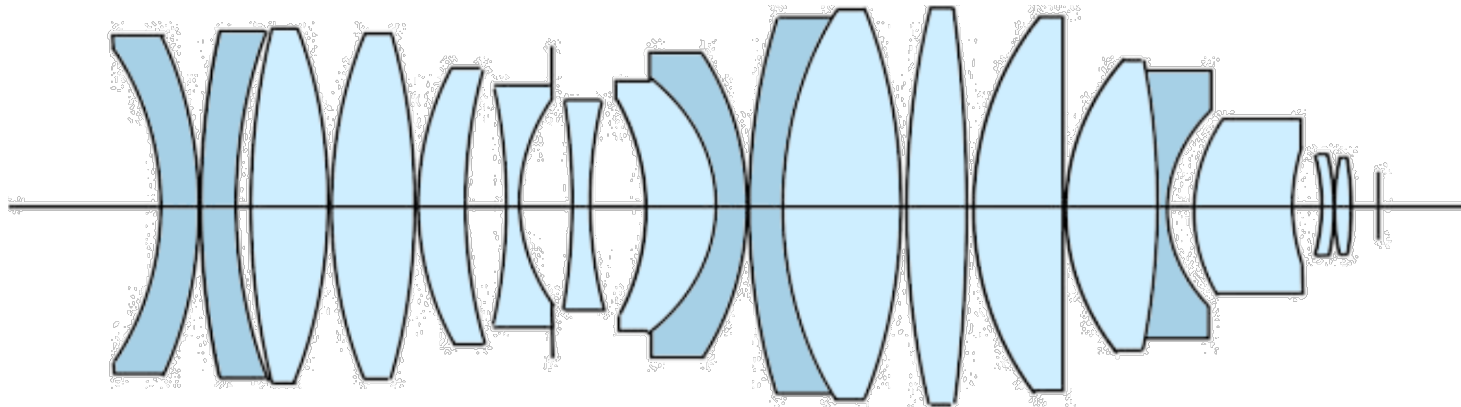
- Correction for
- Secondary color
- Field curvature
- $f = 3 \text{ mm}$ 0.7 NA



Optical disc player objective

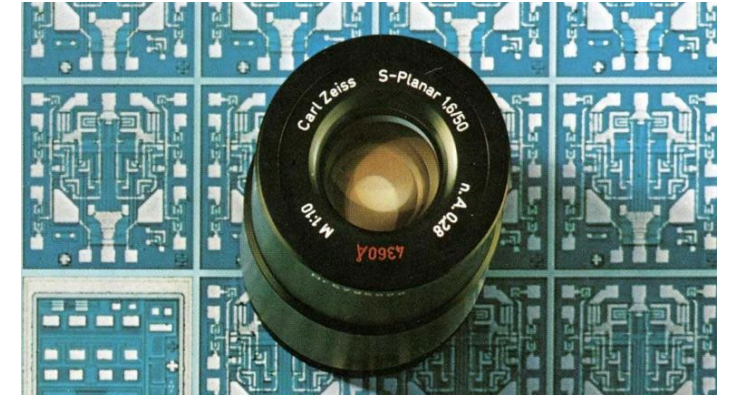
- Related to microscope objectives
- A single lens with aspheric surfaces
- Aplanatic system
- 0.45–0.55 NA
- Field $< 0.2 \text{ mm}$
- Diameter of focus spot $\sim 1 \mu\text{m}$

Lithographic (Stepper) Lens



Stepper Lens, Williamson 1992

- Projection systems for photolithography
- Considered stemming from flat-field microscope objectives
- Zeiss S-Planar objective: crucial for the development
- Williamson 1992 stepper lens:
 - Field diameter 24 mm 5× reduction 0.42 NA
 - Working with mercury I-line (366.5 nm). Resolution 0.5 μm
 - Wavefront errors $< \lambda/4$ over whole field, Distortion $< 50 \text{ nm}$

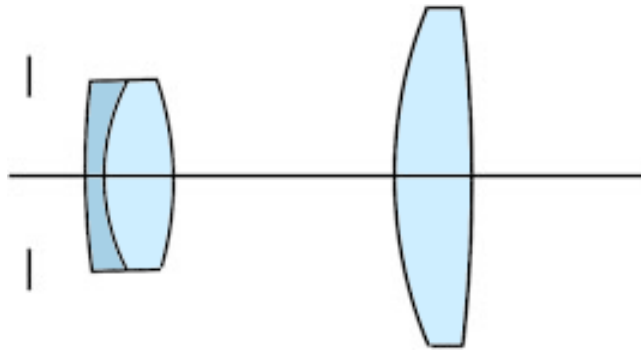


Zeiss S-Planar 10/0.28, 1977



Zeiss DUV Stepper Lens

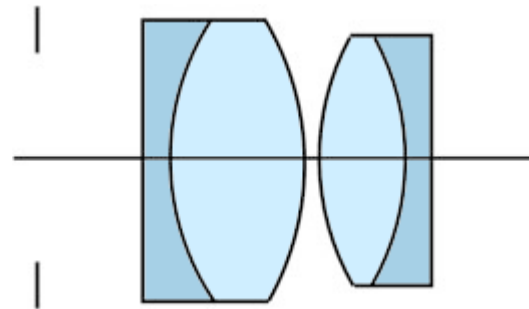
Ocular (Eyepiece)



Kellner, 1849

Kellner ocular

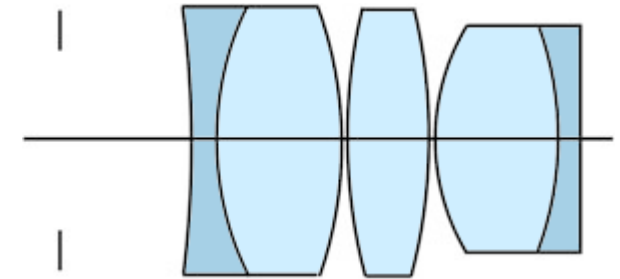
- First modern eyepiece
- Resembles Ramsden with better color and coma correction
- Eye relief $\sim 0.5 f$
- $w \sim 25^\circ$



Plössl, 1860

Plössl ocular

- a.k.a. symmetrical ocular
- Two cemented doublets
- Eye relief up to $0.8 f$
- $w \sim 30^\circ$



Erfle, 1917

Erfle ocular

- Further development of Plössl
- One additional lens
- Wide field ocular
- $w > 40^\circ$

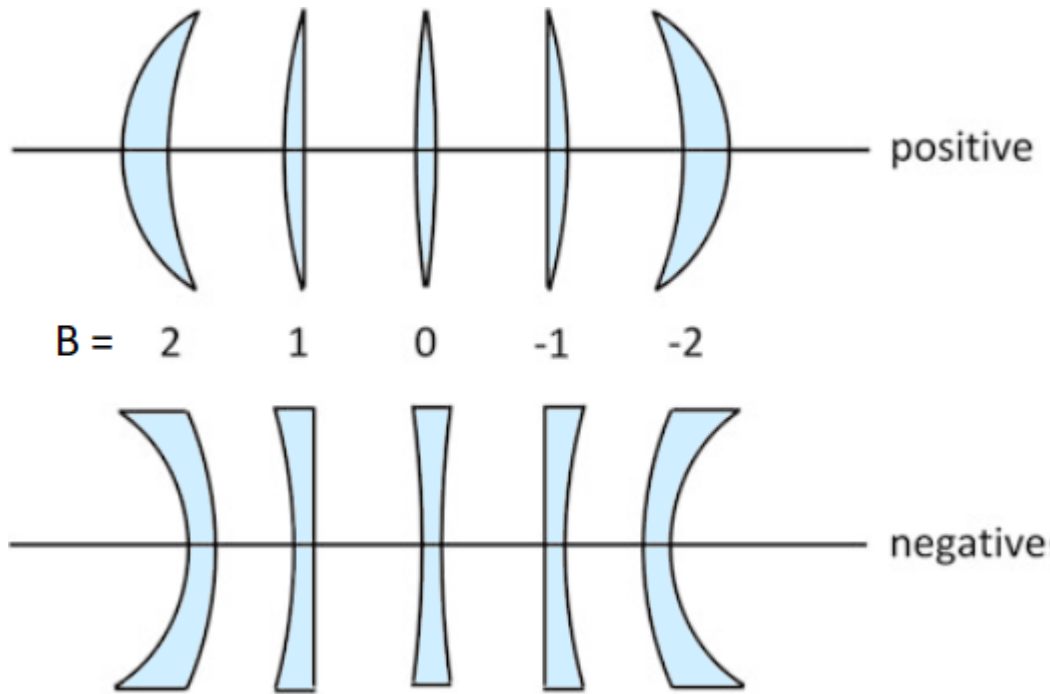
From Layout to Surface Model



- Transition steps between the lay-out and optimization
- Insight in the overall the aberrations of the system
- After lay-out completed:
 - Component powers of the lens is known
 - Distances between the components (and to object and image) is known
 - Optical glasses have been chosen
 - Longitudinal and transverse chromatic aberrations have been corrected
 - Petzval sum is given (to a first approximation)
 - Distortion has been corrected (to a first approximation)
- Remaining degrees of freedom (bending of lenses, stop position):
 - Other aberrations can be corrected
 - Distortion can be fine-tuned
- After transition to real lenses (surface model), the system is ready for optimization

Thin-lens Predesign

- Important feature: Analytical Seidel coefficients



Shape factor:
$$B = \frac{c_1 + c_2}{c_1 - c_2}$$

Position factor:
$$G = \frac{s' + s}{s' - s} = \frac{M + 1}{M - 1}$$

Given K and B :

$$c_1 = \frac{1}{2}(B + 1) \frac{K}{n - 1}$$

$$c_2 = \frac{1}{2}(B - 1) \frac{K}{n - 1}$$

Thin-lens Predesign

Seidel coefficients (lens at the stop):

$$S_1 = \frac{h^4 K^3}{4} \left\{ \frac{n+2}{n(n-1)^2} B^2 + \frac{4(n+1)}{n(n-1)} BG + \frac{3n+2}{n} G^2 + \frac{n^2}{(n-1)^2} \right\} \quad \text{Spherical}$$

$$S_2 = -\frac{h^2 K^2 H}{2} \left(\frac{n+1}{n(n-1)} B + \frac{2n+1}{n} G \right) \quad \text{Coma}$$

$$S_3 = H^2 K \quad \text{Astigmatism}$$

$$S_4 = \frac{H^2 K}{n} \quad \text{Field curvature}$$

$$S_5 = 0 \quad \text{Distortion}$$

$$C_1 = \frac{h^2 K}{V} \quad \text{LCA}$$

$$C_2 = 0 \quad \text{TCA}$$

K, H, n, G, and V are known from layout

Thin-lens Predesign

Seidel coefficients (remote stop):

$$S_1^* = S_1 \quad \text{Spherical}$$

$$S_2^* = S_2 + \frac{\bar{h}}{h} S_1 \quad \text{Coma}$$

$$S_3^* = S_3 + 2 \frac{\bar{h}}{h} S_2 + \left(\frac{\bar{h}}{h} \right)^2 S_1 \quad \text{Astigmatism}$$

$$S_4^* = S_4 \quad \text{Field curvature}$$

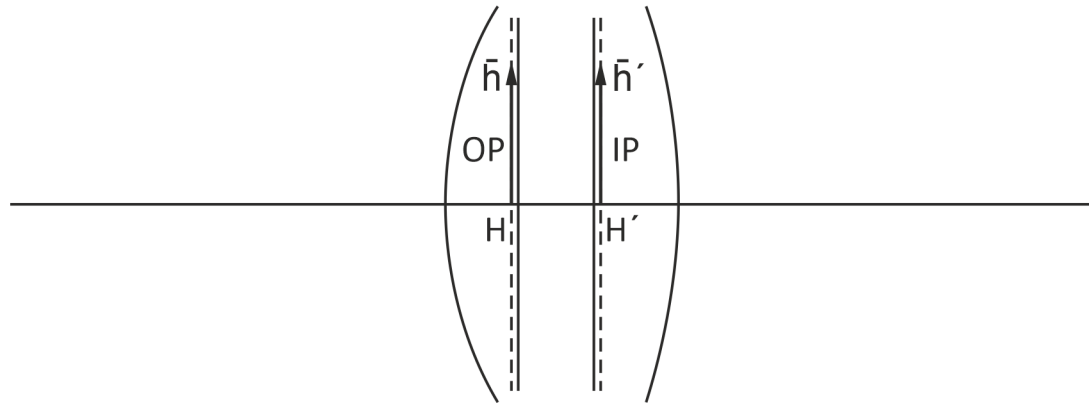
$$S_5^* = S_5 + \frac{\bar{h}}{h} (3S_3 + S_4) + 3 \left(\frac{\bar{h}}{h} \right)^2 S_2 + \left(\frac{\bar{h}}{h} \right)^3 S_1 \quad \text{Distortion}$$

$$C_1^* = C_1 \quad \text{LCA}$$

$$C_2 = C_2 + \frac{\bar{h}}{h} C_1 \quad \text{TCA}$$

Thin-lens Predesign

Seidel coefficients (thin lens on plane conjugated to object, field lens):



$$S_1 = S_2 = S_3 = S_5 = 0$$

Spherical

Coma

Astigmatism

Distortion

$$S_4 = \frac{H^2 K}{n}$$

Field curvature

$$C_1 = \frac{h^2 K}{V}$$

LCA

$$C_2 = \frac{h \bar{h} K}{V}$$

TCA

Thin-lens Predesign

Zero spherical aberration condition:

From definition $B = \frac{2(1-n^2)}{n+2}G$ $n = 1.5 \rightarrow B \approx -0.7G$

Minimum value $S_{1,\min} = \frac{h^4 K^3}{4} \left(\frac{n^2}{(n-1)^2} - \frac{n}{n+2} G^2 \right)$

$S_{1,\min} = 0$ for $n = 1.5$ when $G^2 = 21$

Zero Coma condition:

$B = \frac{(n-1)(2n+1)}{n+1}G$ for $n = 1.5$ $B = -0.8G$

Correction of Thin-lens Systems

Knowing h , H , K , n , and G from layout:

When lens is at the stop

S_1 is quadratic of B

S_2 is linear of B

S_3 and S_4 are constants

S_5 is zero

When lens has a remote stop

Paraxial ray trace for $\bar{h}$

S_1^* is quadratic of B

S_2^* is linear of B

S_3^* is quadratic of B

S_4^* does not depend on B

S_5^* is quadratic of B

Seidel sum $S_j = \sum_{i=1}^k S_{j,i}$, $j = 1, 2, 3, 5$ is a quadratic function of B_i

Setting target aberration coefficients, solve the equations (k lenses, m aberrations) for B_i

Degree of freedom: $k + 1$



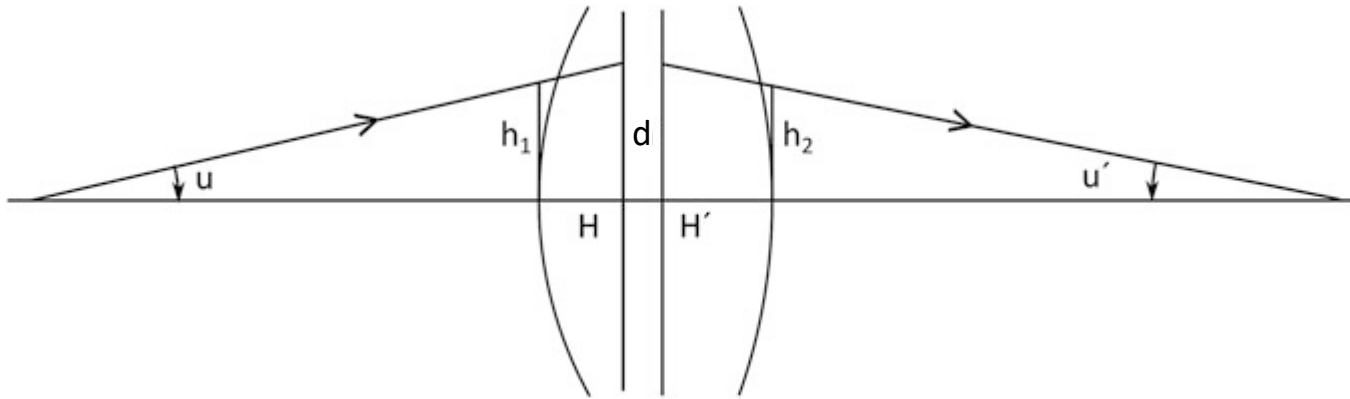
$k + 1 > m$: possible to correct all aberrations

$k + 1 = m$: hopeful to correct all aberrations

$k + 1 < m$: not possible to correct all aberrations

Surface Model

- **Purpose:** to give the thin lens a thickness to build a real lens
- **Problem:** optical power changes with thickness!
- **Solution:** Adjustments in the surface curvature



In a thin lens ($d = 0$) $K^{(thin)} = K_1^{(thin)} + K_2^{(thin)}$

When d is nonzero $K^{(new)} = K_1^{(thin)} + K_2^{(thin)} - \frac{dK_1^{(thin)}K_2^{(thin)}}{n}$

Procedure

- Insert a thickness between surfaces 1 and 2 of each lens
 - 10–15% of its diameter for a positive lens
 - Minimum 1.5 mm at the edge
 - Thickness $> 6\%$ of lens diameter for a negative lens

- Calculate the new power $K^{(new)}$
- Scale r_1 , r_2 , and d by

$$\frac{K^{(new)}}{K_1^{(thin)} + K_2^{(thin)}}$$

- After adjustment, K is restored to its original value:

$$K^{(thick)} = K^{(thin)} = K_1^{(thin)} + K_2^{(thin)}$$

Homework

To be announced